

FINANCIAL SERVICE PROVIDER'S FACT SHEET

The Company (hereinafter the "**Client**") acknowledges, in compliance with the provisions of Articles 8-9 FinSA and 13-14 FINSO, that the following information have been made available from OpenFunds Investment Services AG (hereinafter the "**Company**").

1. General information

Company name: OpenFunds Investment Services AG
Headquarters: Zurich, with branches in Lugano and Geneva
Address for correspondence: Seefeldstrasse 35 8008 Zürich
Email address: info@open-funds.ch
Website: www.open-funds.ch

2. Verification of the appropriateness or adequacy of the service offered

The Client is informed that she/he/it is considered as a professional client, and that for the adequacy assessment, the Company will assume that the Client has the necessary knowledge and experience and is able to bear financially the investment risks associated with the financial service. If the Client does not want to be qualified as professional client, she/he/it shall inform the Company and sign an opting-in declaration.

3. Field of activity

The Company is mainly active as fund representative and investment advisor.

4. Supervisory status

The Company is a fund representative within the meaning of Art. 123 of the Swiss Collective Investment Scheme Act and as such supervised by FINMA for its activity as fund representative.

5. Risks associated with financial instruments

Being a professional investor, the client knows the risk related to financial instruments. If the client does not wish to be treated as a professional investor, she/he/it should inform the Company and sign an opting in declaration.

6. Conflicts of interest and economic constraints

The Company takes the necessary organisational measures to ensure that the interests of its employees do not conflict with those of the Client and that those of its clients do not conflict with each other.

In this regard, the Client acknowledges that:

The Company or its shareholders are in relationships with the following companies:

- Prestige Capital Services, London, UK
- Kroma Capital Partners Limited, Dubai, UAE

The Client is advised that the following circumstances may give rise to a conflict of interest:

- The Company only distributes financial instruments of producers with whom it has a contractual relationship.

7. Mediation

The Company is affiliated with the following ombudsman: Finanzombudsstelle Schweiz (Finos), Talstrasse 20, 8001 Zurich.

The Client is informed that, in the event of a dispute with the Company, he/she/it may initiate mediation proceedings before the ombudsman. The ombudsman will resolve the dispute in an unbureaucratic, fair, expeditious, impartial, and confidential manner at low or no cost.